

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hirth-Dietrich, et al.

Serial No.: [to be assigned] - National Stage Filing of PCT/EP2003/009666

Title: Dibenzoxazepines

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

Dear Sir:

Applicants wish to cite for the record in the above-identified application the references shown on the accompanying modified form PTO-1449. A copy of the International Search Report is also enclosed for your reference.

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement transmitted herewith is being filed **before** the mailing date of the first Office action on the merits.

**FEE PAYMENT**

Applicants believe that no fees are due with this submission. However, the Commissioner is hereby authorized to charge any fees that may have been overlooked but that are required to Deposit Account 13-3372. Additionally, please credit any overpayment to the same account.

Respectfully submitted,



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Limited Recognition under 37 CFR § 11.9(b)  
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Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Serial No. [To Be Assigned]	Art Unit	Filing Date	Atty. Docket No. Le A 36 340
INFORMATION DISCLOSURE CITATION		Applicant(s) Hirth-Dietrich, et al.			
<b>10/527388</b>					

**U.S. PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE (MM/DD/YY)	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

*		DOCUMENT NO.	DATE (DD/MM/YY)	COUNTRY	PRIMARY CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	<b>F1</b>	0 0 5 4 9 5 1	30/06/82	EP				
	<b>F2</b>	0 0 4 8 6 0 3	24/08/00	WO				

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)**

<b>R1</b>	Klunder, et al., "Novel Non-Nucleoside Inhibitors of HIV-1 Reverse Transcriptase. 2. Tricyclic Pyridobenzoxazepinones and Dibenzoxazepinones," <i>J. Med. Chem.</i> , <b>35</b> (10): 1887-1897 (1992).
<b>R2</b>	[Database CA Online] Chemical Abstracts Service, Columbus, Ohio, US. Chugai Pharmaceutical Co., Ltd., Japan: "Dibenzoxazepinone Derivatives," Retrieved from STN, Database Accession No. 100:191915.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.